# On the rank conjecture

Igor Nikolaev \*

#### Abstract

A rank conjecture says that the rank of elliptic curve with complex multiplication is one less the so-called arithmetic complexity of corresponding noncommutative torus with real multiplication. We prove the conjecture for the Q-curves introduced by B. H. Gross.

Key words and phrases: complex and real multiplication

MSC: 11G15 (complex multiplication); 46L85 (noncommutative topology)

#### 1 Introduction

It was noticed some time ago, that there exists a fundamental duality between elliptic curves and certain (associative) operator algebras known as the noncommutative tori [6]. Such a duality is realized by a covariant functor F (the Teichmüller functor), which maps isomorphic elliptic curves to the stably isomorphic algebras [3]. The functor F is rather explicit; for instance, if elliptic curve,  $\mathcal{E}_{CM}$ , has complex multiplication by  $\sqrt{-D}$ , then the corresponding noncommutative torus,  $\mathcal{A}_{RM}$ , has real multiplication by  $\sqrt{D}$ , see Appendix for definition. A natural question arises about intrinsic invariants of  $\mathcal{E}_{CM}$  expressed in terms of the torus  $\mathcal{A}_{RM}$ . The present article deals with one of such invariants – the rank of  $\mathcal{E}_{CM}$  as function of the so-called arithmetic complexity of  $\mathcal{A}_{RM}$  (to be defined in terms of the continued fraction of  $\sqrt{D}$ ). Let us review some preliminary facts.

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Denote by  $\mathbb{H}=\{x+iy\in\mathbb{C}\mid y>0\}$  the upper half-plane and for  $\tau\in\mathbb{H}$  let  $\mathbb{C}/(\mathbb{Z}+\mathbb{Z}\tau)$  be a complex torus; we routinely identify the latter with a non-singular elliptic curve via the Weierstrass  $\wp$  function [7], pp. 6-7. Recall that complex tori of (complex) moduli  $\tau$  and  $\tau'$  are isomorphic, whenever  $\tau'=(a\tau+b)/(c\tau+d)$ , where  $a,b,c,d\in\mathbb{Z}$  and ad-bc=1. If modulus  $\tau$  is an imaginary quadratic number, then elliptic curve is said to have complex multiplication; in this case the endomorphism ring of lattice  $L=\mathbb{Z}+\mathbb{Z}\tau$  is isomorphic to an order  $\Re$  of conductor  $f\geq 1$  in the quadratic field  $\mathbb{Q}(\sqrt{-D})$ , where  $D\geq 1$  is a square-free integer [7], pp. 95-96. We shall denote such an elliptic curve by  $\mathcal{E}_{CM}^{(-D,f)}$ . The curve  $\mathcal{E}_{CM}^{(-D,f)}$  is is isomorphic to a non-singular cubic defined over the field  $H=K(j(\mathcal{E}_{CM}^{(-D,f)}))$ , where  $K=\mathbb{Q}(\sqrt{-D})$  and  $j(\mathcal{E}_{CM}^{(-D,f)})$  is the j-invariant of  $\mathcal{E}_{CM}^{(-D,f)}$ . The Mordell-Weil theorem says that the set of H-rational points of  $\mathcal{E}_{CM}^{(-D,f)}$  is a finitely generated abelian group, whose rank we shall denote by rk ( $\mathcal{E}_{CM}^{(-D,f)}$ ); for an exact definition of the rank, we refer the reader to [1], p. 49. The integer rk ( $\mathcal{E}_{CM}^{(-D,f)}$ ) is an invariant of the isomorphism class of  $\mathcal{E}_{CM}^{(-D,f)}$ .

Denote by  $(\mathcal{E}_{CM}^{(-D,f)})^{\sigma}$ ,  $\sigma \in Gal(H|\mathbb{Q})$  the Galois conjugate of the curve  $\mathcal{E}_{CM}^{(-D,f)}$ ; by a  $\mathbb{Q}$ -curve one understands  $\mathcal{E}_{CM}^{(-D,f)}$ , such that there exists an isogeny between  $(\mathcal{E}_{CM}^{(-D,f)})^{\sigma}$  and  $\mathcal{E}_{CM}^{(-D,f)}$  for each  $\sigma \in Gal(H|\mathbb{Q})$ . Let  $\mathcal{E}(p) := \mathcal{E}_{CM}^{(-p,1)}$ , where p is a prime number; then  $\mathcal{E}(p)$  is a  $\mathbb{Q}$ -curve whenever  $p = 3 \mod 4$  [1], p. 33. The set of all primes  $p = 3 \mod 4$  will be denoted by  $\mathfrak{P}_3 \mod 4$ . The rank of  $\mathcal{E}(p)$  is always divisible by  $2h_K$ , where  $h_K$  is the class number of field K; by a  $\mathbb{Q}$ -rank of  $\mathcal{E}(p)$  we understand the integer  $rk_{\mathbb{Q}}(\mathcal{E}(p)) := \frac{1}{2h_K} rk(\mathcal{E}(p))$ .

Let  $\mathcal{A}_{RM}^{(D,f)}$  be a torus with real multiplication by the order R of conductor  $f \geq 1$  in the real quadratic field  $\mathbb{Q}(\sqrt{D})$ , see Appendix. The irrational number  $\sqrt{D}$  unfolds in a periodic continued fraction; its minimal period we shall write as  $(\overline{a_{k+1},\ldots,a_{k+P}})$ . In this period the entries  $a_i$ 's are viewed as (discrete) variables; in general, due to a symmetry (special form) of quadratic irrationality, there are polynomial relations (constraints) between  $a_i$  so that some of them depend on the other, see Section 2. The total number of independent variables  $a_i$ 's in  $(\overline{a_{k+1},\ldots,a_{k+P}})$  will be called an arithmetic complexity of  $\mathcal{A}_{RM}^{(D,f)}$  and denoted by  $c(\mathcal{A}_{RM}^{(D,f)})$ ; such a complexity is equal to the dimension of a connected component of affine variety given by the diophantine equation (5). It follows from definition, that  $1 \leq c(\mathcal{A}_{RM}^{(D,f)}) \leq P$  and integer  $c(\mathcal{A}_{RM}^{(D,f)})$  is an invariant of the stable isomorphism class of  $\mathcal{A}_{RM}^{(D,f)}$ .

Recall that the Teichmüller functor acts by the formula  $F(\mathcal{E}_{CM}^{(-D,f)}) = \mathcal{A}_{RM}^{(D,f)}$ , see lemma 6. By a rank conjecture one understands the following equation relating the rank of  $\mathcal{E}_{CM}^{(-D,f)}$  to the complexity of  $\mathcal{A}_{RM}^{(D,f)}$ .

Conjecture 1 ([3]) 
$$rk (\mathcal{E}_{CM}^{(-D,f)}) + 1 = c(\mathcal{A}_{RM}^{(D,f)}).$$

In the sequel, we shall restrict conjecture 1 to the  $\mathbb{Q}$ -curves  $\mathcal{E}(p)$ ; in view of this additional symmetry, the initial rank of  $\mathcal{E}(p)$  must be divided by  $2h_K$ . Thus, one gets the following refinement of conjecture 1.

#### Conjecture 2 (Q-rank conjecture)

$$\frac{1}{2h_K} rk \left( \mathcal{E}(p) \right) + 1 = c(\mathcal{A}_{RM}^{(p,1)}).$$

The aim of present note is to verify the  $\mathbb{Q}$ -rank conjecture for primes  $p = 3 \mod 4$ ; our main result can be expressed as follows.

**Theorem 1** For each prime  $p = 3 \mod 4$  the  $\mathbb{Q}$ -rank conjecture is true.

The article is organized as follows. The arithmetic complexity is defined in Section 2. Theorem 1 is proved in Section 3. In Section 4 we illustrate theorem 1 by examples of  $\mathcal{E}(p)$  for primes under 100. A brief review of the algebras  $\mathcal{A}_{\theta}$  and functor F can be found in Section 5.

### 2 Arithmetic complexity

Let  $\theta$  be a quadratic irrationality, i.e. irrational root of a quadratic polynomial  $ax^2 + bx + c = 0$ , where  $a, b, c \in \mathbb{Z}$ ; denote by  $Per(\theta) := (\overline{a_1, a_2, \dots, a_P})$  the minimal period of continued fraction of  $\theta$  taken up to a cyclic permutation. Fix P and suppose for a moment that  $\theta$  is a function of its period:

$$\theta(x_0, x_1, \dots, x_P) = [x_0, \overline{x_1, \dots, x_P}],\tag{1}$$

where  $x_i \geq 1$  are integer variables; then  $\theta(x_0, \ldots, x_P) \in \mathbb{Q} + \sqrt{\mathbb{Q}}$ , where  $\sqrt{\mathbb{Q}}$  are square roots of positive rationals. Consider a constraint (a restriction)  $x_1 = x_{P-1}, x_2 = x_{P-2}, \ldots, x_P = 2x_0$ ; then  $\theta(x_0, x_1, x_2, \ldots, x_2, x_1, 2x_0) \in \sqrt{\mathbb{Q}}$ , see e.g. [4], p. 79. Notice, that in this case there are  $\frac{1}{2}P + 1$  independent variables, if P is even and  $\frac{1}{2}(P+1)$ , if P is odd. The number of independent variables will further decrease, if  $\theta$  is square root of an integer; let us introduce

some notation. For a regular fraction  $[a_0, a_1, \ldots]$  one associates the linear equations

$$\begin{cases}
y_0 = a_0 y_1 + y_2 \\
y_1 = a_1 y_2 + y_3 \\
y_2 = a_2 y_3 + y_4 \\
\vdots
\end{cases}$$
(2)

One can put equations (2) in the form

$$\begin{cases} y_j = A_{i-1,j}y_{i+j} + a_{i+j}A_{i-2,j}y_{i+j+1} \\ y_{j+1} = B_{i-1,j}y_{i+j} + a_{i+j}B_{i-2,j}y_{i+j+1}, \end{cases}$$
(3)

where the polynomials  $A_{i,j}, B_{i,j} \in \mathbb{Z}[a_0, a_1, \ldots]$  are called Muir's symbols [4], p.10. The following well-known lemma will play an important rôle.

**Lemma 1** ([4], pp. 88 and 107) There exists a square-free integer D > 0, such that

$$[x_0, \overline{x_1, \dots, x_1, x_P}] = \begin{cases} \sqrt{\overline{D}}, & \text{if } x_P = 2x_0 \text{ and } D = 2, 3 \text{ mod } 4, \\ \frac{\sqrt{\overline{D}+1}}{2}, & \text{if } x_P = 2x_0 - 1 \text{ and } D = 1 \text{ mod } 4, \end{cases}$$
(4)

if and only if  $x_P$  satisfies the diophantine equation

$$x_P = mA_{P-2,1} - (-1)^P A_{P-3,1} B_{P-3,1}, (5)$$

for an integer m > 0; moreover, in this case  $D = \frac{1}{4}x_P^2 + mA_{P-3,1} - (-1)^P B_{P-3,1}^2$ .

Let  $(x_0^*, \ldots, x_P^*)$  be a solution of the diophantine equation (5). By dimension, d, of this solution one understands the maximal number of variables  $x_i$ , such that for every  $s \in \mathbb{Z}$  there exists a solution of (5) of the form  $(x_0, \ldots, x_i^* + s, \ldots, x_P)$ . In geometric terms, d is equal to dimension of a connected component through the point  $(x_0^*, \ldots, x_P^*)$  of an affine variety  $V_m$  (i.e. depending on m) defined by equation (5). Let us consider a simple

**Example 1 ([4], p. 90)** If P = 4, then Muir's symbols are:  $A_{P-3,1} = A_{1,1} = x_1x_2 + 1$ ,  $B_{P-3,1} = B_{1,1} = x_2$  and  $A_{P-2,1} = A_{2,1} = x_1x_2x_3 + x_1 + x_3 = x_1^2x_2 + 2x_1$ , since  $x_3 = x_1$ . Thus, equation (5) takes the form:

$$2x_0 = m(x_1^2 x_2 + 2x_1) - x_2(x_1 x_2 + 1), (6)$$

and, therefore,  $\sqrt{x_0^2 + m(x_1x_2 + 1) - x_2^2} = [x_0, \overline{x_1, x_2, x_1, 2x_0}]$ . First, let us show that the affine variety defined by equation (6) is not connected. Indeed,

by lemma 1, parameter m must be integer for all (integer) values of  $x_0, x_1$  and  $x_2$ . This is not possible in general, since from (6) one obtains  $m = (2x_0+x_2(x_1x_2+1))(x_1^2x_2+2x_1)^{-1}$  is a rational number. However, a restriction to  $x_1 = 1$ ,  $x_2 = x_0 - 1$  defines a (maximal) connected component of variety (6), since in this case  $m = x_0$  is always an integer. Thus, one gets a family of solutions of (6) of the form  $\sqrt{(x_0+1)^2-2} = [x_0, \overline{1, x_0-1, 1, 2x_0}]$ , where each solution has dimension d = 1. (We shall use this solution in the next section.)

**Definition 1** By an arithmetic complexity of  $\mathcal{A}_{RM}^{(D,1)}$  one understands the dimension of solution  $(x_0^*, \ldots, x_P^*)$  of the diophantine equation <sup>1</sup>:

$$\frac{1}{4}x_P^2 + mA_{P-3,1} - (-1)^P B_{P-3,1}^2 = D,$$

see lemma 1 for the notation. The complexity equals infinity, if and only if, torus has no real multiplication.

**Remark 1** In [3] the arithmetic complexity was defined as the maximal number of independent variables, i.e. d = P the length of the period. It is easy to see, that these two definitions coincide on the generic <sup>2</sup> tori with real multiplication.

### 3 Proof of theorem 1

We shall split the proof in a series of lemmas starting with the following

**Lemma 2** If  $[x_0, \overline{x_1, \dots, x_k, \dots, x_1, 2x_0}] \in \sqrt{\mathfrak{P}_{3 \text{ mod } 4}}$ , then:

- (i) P = 2k is an even number, such that:
  - (a)  $P \equiv 2 \mod 4$ , if  $p \equiv 3 \mod 8$ ;
  - (b)  $P \equiv 0 \mod 4$ , if  $p \equiv 7 \mod 8$ ;
- (ii) either of two is true:
  - (a)  $x_k = x_0$  (a culminating period);
  - (b)  $x_k = x_0 1$  and  $x_{k-1} = 1$  (an almost-culminating period).

<sup>&</sup>lt;sup>1</sup>This equation can be replaces by the equivalent equation (5).

<sup>&</sup>lt;sup>2</sup>I.e. a torus with real multiplication, such that  $\theta = r_1 + r_2 \sqrt{D}$ , where  $r_1$  and  $r_2$  are arbitrary rational numbers.

*Proof.* (i) Recall that if  $p \neq 2$  is a prime, then one and only one of the following diophantine equations is solvable:

$$\begin{cases} x^2 - py^2 &= -1, \\ x^2 - py^2 &= 2, \\ x^2 - py^2 &= -2, \end{cases}$$
 (7)

see e.g. [4], Satz 3.21. Since  $p \equiv 3 \mod 4$ , one concludes that  $x^2 - py^2 = -1$  is not solvable [4], Satz 3.23-24; this happens if and only if P = 2k is even (for otherwise the continued fraction of  $\sqrt{p}$  would provide a solution).

It is known, that for even periods P=2k the convergents  $A_i/B_i$  satisfy the diophantine equation  $A_{k-1}^2 - pB_{k-1}^2 = (-1)^k \ 2$ , see [4], p.103; thus if  $P \equiv 0 \mod 4$ , the equation  $x^2 - py^2 = 2$  is solvable and if  $P \equiv 2 \mod 4$ , then the equation  $x^2 - py^2 = -2$  is solvable. But equation  $x^2 - py^2 = 2$  (equation  $x^2 - py^2 = -2$ , resp.) is solvable if and only if  $p \equiv 7 \mod 8$  ( $p \equiv 3 \mod 8$ , resp.), see [4], Satz 3.23 (Satz 3.24, resp.). Item (i) follows.

(ii) The equation  $A_{k-1}^2 - pB_{k-1}^2 = (-1)^k \ 2$  is a special case of equation  $A_{k-1}^2 - pB_{k-1}^2 = (-1)^k \ Q_k$ , where  $Q_k$  is the full quotient of continued fraction [4], p.92; therefore,  $Q_k = 2$ . One can now apply Satz 3.15 of [4], which says that for P = 2k and  $Q_k = 2$  the continued fraction of  $\sqrt{\mathfrak{P}_3 \mod 4}$  is either culminating (i.e.  $x_k = x_0$ ) or almost-culminating (i.e.  $x_k = x_0 - 1$  and  $x_{k-1} = 1$ ). Lemma 2 follows.  $\square$ 

**Lemma 3** If  $p \equiv 3 \mod 8$ , then  $c(\mathcal{A}_{RM}^{(p,1)}) = 2$ .

*Proof.* The proof proceeds by induction in period P, which is in this case  $P \equiv 2 \mod 4$  by lemma 2. We shall start with P = 6, since P = 2 reduces to it, see item (i) below.

- (i) Let P=6 be a culminating period; then equation (5) admits a general solution  $[x_0, \overline{x_1, 2x_1, x_0, 2x_1, x_1, 2x_0}] = \sqrt{x_0^2 + 4nx_1 + 2}$ , where  $x_0 = n(2x_1^2 + 1) + x_1$ , see [4], p. 101. The solution depends on two integer variables  $x_1$  and n, which is the maximal possible number of variables in this case; therefore, the dimension of the solution is d=2, so as complexity of the corresponding torus. Notice that the case P=2 is obtained from P=6 by restriction to n=0; thus the complexity for P=2 is equal to 2.
- (ii) Let P=6 be an almost-culminating period; then equation (5) has a solution  $[3s+1,\overline{2,1,3s,1,2,6s+2}]=\sqrt{(3s+1)^2+2s+1}$ , where s is an

integer variable [4], p. 103. We encourage the reader to verify, that this solution is a restriction of solution (i) to  $x_1 = -1$  and n = s + 1; thus, the dimension of our solution is d = 2, so as the complexity of the corresponding torus.

(iii) Suppose a solution  $[x_0, \overline{x_1, \ldots, x_{k-1}, x_k, x_{k-1}, \ldots, x_1, 2x_0}]$  with the (culminating or almost-culminating) period  $P_0 \equiv 3 \mod 8$  has dimension d=2; let us show that a solution

$$[x_0, \overline{y_1, x_1, \dots, x_{k-1}, y_{k-1}, x_k, y_{k-1}, x_{k-1}, \dots, x_1, y_1, 2x_0}]$$
(8)

with period  $P_0 + 4$  has also dimension d = 2. According to Weber [8], if (8) is a solution to the diophantine equation (5), then either (i)  $y_{k-1} = 2y_1$  or (ii)  $y_{k-1} = 2y_1 + 1$  and  $x_1 = 1$ . We proceed by showing that case (i) is not possible for the square roots of prime numbers.

Indeed, let to the contrary  $y_{k-1} = 2y_1$ ; then the following system of equations must be compatible:

$$\begin{cases}
A_{k-1}^2 - pB_{k-1}^2 = -2, \\
A_{k-1} = 2y_1A_{k-2} + A_{k-3}, \\
B_{k-1} = 2y_1B_{k-2} + B_{k-3},
\end{cases} \tag{9}$$

where  $A_i, B_i$  are convergents and the first equation is solvable since  $p \equiv 3 \mod 8$ . From the first equation, both convergents  $A_{k-1}$  and  $B_{k-1}$  are odd numbers. (They are both odd or even, but even excluded, since  $A_{k-1}$  and  $B_{k-1}$  are relatively prime.) From the last two equations, the convergents  $A_{k-3}$  and  $B_{k-3}$  are also odd. Then the convergents  $A_{k-2}$  and  $B_{k-2}$  must be even, since among six consequent convergents  $A_{k-1}, B_{k-1}, A_{k-2}, B_{k-2}, A_{k-3}, B_{k-3}$  there are always two even; but this is not possible, because  $A_{k-2}$  and  $B_{k-2}$  are relatively prime. Thus,  $y_{k-1} \neq 2y_1$ .

Therefore (8) is a solution of the diophantine equation (5) if and only if  $y_{k-1} = 2y_1 + 1$  and  $x_1 = 1$ ; the dimension of such a solution coincides with the dimension of solution  $[x_0, \overline{x_1, \ldots, x_{k-1}, x_k, x_{k-1}, \ldots, x_1, 2x_0}]$ , since for two new integer variables  $y_1$  and  $y_{k-1}$  one gets two new constraints. Thus, the dimension of solution (8) is d = 2, so as the complexity of the corresponding torus. Lemma 3 follows.  $\square$ 

**Lemma 4** If 
$$p \equiv 7 \mod 8$$
, then  $c(\mathcal{A}_{RM}^{(p,1)}) = 1$ .

*Proof.* The proof proceeds by induction in period  $P \equiv 0 \mod 4$ , see lemma 2; we start with P = 4.

- (i) Let P=4 be a culminating period; then equation (5) admits a solution  $[x_0, \overline{x_1, x_2, x_1, 2x_0}] = \sqrt{x_0^2 + m(x_1x_2+1) x_2^2}$ , where  $x_2 = x_0$ , see example 1 for the details. Since the polynomial  $m(x_0x_1+1)$  under the square root represents a prime number, we have m=1; the latter equation is not solvable in integers  $x_0$  and  $x_1$ , since  $m=x_0(x_0x_1+3)x_1^{-1}(x_0x_1+2)^{-1}$ . Thus, there are no solutions of (5) with the culminating period P=4.
- (ii) Let P=4 be an almost-culminating period; then equation (5) admits a solution  $[x_0, \overline{1, x_0 1, 1, 2x_0}] = \sqrt{(x_0 + 1)^2 2}$ . The dimension of this solution was proved to be d=1, see example 1; thus, the complexity of the corresponding torus is equal to 1.
- (iii) Suppose a solution  $[x_0, \overline{x_1, \ldots, x_{k-1}, x_k, x_{k-1}, \ldots, x_1, 2x_0}]$  with the (culminating or almost-culminating) period  $P_0 \equiv 7 \mod 8$  has dimension d = 1. It can be shown by the same argument as in lemma 3, that for a solution of the form (8) having the period  $P_0 + 4$  the dimension remains the same, i.e. d = 1; we leave details to the reader. Thus, complexity of the corresponding torus is equal to 1. Lemma 4 follows.  $\square$

Lemma 5 ([1], p.78)

$$\frac{1}{2h_K} rk \left( \mathcal{E}(p) \right) = \begin{cases} 1, & \text{if } p \equiv 3 \text{ mod } 8 \\ 0, & \text{if } p \equiv 7 \text{ mod } 8. \end{cases}$$
 (10)

Theorem 1 follows from lemma 6 and lemmas 3-5.  $\square$ 

#### 4 Examples

The table below illustrates theorem 1 for all  $\mathbb{Q}$ -curves  $\mathcal{E}(p)$ , such that p < 100; notice, that in general there are infinitely many pairwise non-isomorphic  $\mathbb{Q}$ -curves [1].

$p \equiv 3 \mod 4$	$rk_{\mathbb{Q}}(\mathcal{E}(p))$	$\sqrt{p}$	$c(\mathcal{A}_{RM}^{(p,1)})$
3	1	$[1,\overline{1,2}]$	2
7	0	$[2,\overline{1,1,1,4}]$	1
11	1	$[3,\overline{3,6}]$	2
19	1	$[4, \overline{2, 1, 3, 1, 2, 8}]$	2
23	0	$[4, \overline{1, 3, 1, 8}]$	1
31	0	$[5, \overline{1, 1, 3, 5, 3, 1, 1, 10}]$	1
43	1	$[6, \overline{1, 1, 3, 1, 5, 1, 3, 1, 1, 12}]$	2
47	0	$[6, \overline{1, 5, 1, 12}]$	1
59	1	$[7, \overline{1, 2, 7, 2, 1, 14}]$	2
67	1	$[8, \overline{5, 2, 1, 1, 7, 1, 1, 2, 5, 16}]$	2
71	0	$[8, \overline{2, 2, 1, 7, 1, 2, 2, 16}]$	1
79	0	$[8, \overline{1, 7, 1, 16}]$	1
83	1	$[9, \overline{9, 18}]$	2

Figure 1: The Q-curves  $\mathcal{E}(p)$  with p < 100.

## 5 Appendix

Let  $0 < \theta < 1$  be an irrational number; by a noncommutative torus  $\mathcal{A}_{\theta}$  one understands the universal  $C^*$ -algebra generated by the unitaries u and v satisfying the commutation relation  $vu = e^{2\pi i\theta}uv$  [5], [6]. The algebras  $\mathcal{A}_{\theta}$  and  $\mathcal{A}_{\theta'}$  are said to be stably isomorphic (Morita equivalent) if  $\mathcal{A}_{\theta} \otimes \mathcal{K} \cong \mathcal{A}_{\theta'} \otimes \mathcal{K}$ , where  $\mathcal{K}$  is the  $C^*$ -algebra of compact operators; in this case  $\theta' = (a\theta + b)/(c\theta + d)$ , where  $a, b, c, d \in \mathbb{Z}$  and ad - bc = 1.

The K-theory of  $\mathcal{A}_{\theta}$  is Bott periodic with  $K_0(\mathcal{A}_{\theta}) = K_1(\mathcal{A}_{\theta}) \cong \mathbb{Z}^2$ ; the range of trace on projections of  $\mathcal{A}_{\theta} \otimes \mathcal{K}$  is a subset  $\Lambda = \mathbb{Z} + \mathbb{Z}\theta$  of the real line, which is called a pseudo-lattice [2]. The torus  $\mathcal{A}_{\theta}$  has real multiplication, if  $\theta$  is a quadratic irrationality; in this case the endomorphism ring of pseudo-lattice  $\Lambda$  is isomorphic to an order R of conductor  $f \geq 1$  in the real quadratic  $\mathbb{Q}(\sqrt{D})$ , where D > 1 is a square-free integer. The corresponding noncommutative torus we shall write as  $\mathcal{A}_{RM}^{(D,f)}$ .

There exists a covariant functor between elliptic curves and noncommutative tori; the functor maps isomorphic elliptic curves to the stably isomorphic

tori [3]. To give an idea, let  $\phi$  be a closed form on a topological torus; the trajectories of  $\phi$  define a measured foliation on the torus. By the Hubbard-Masur theorem, such a foliation corresponds to a point  $\tau \in \mathbb{H}$ . The map  $F : \mathbb{H} \to \partial \mathbb{H}$  is defined by the formula  $\tau \mapsto \theta = \int_{\gamma_2} \phi / \int_{\gamma_1} \phi$ , where  $\gamma_1$  and  $\gamma_2$  are generators of the first homology of the torus. The following is true: (i)  $\mathbb{H} = \partial \mathbb{H} \times (0, \infty)$  is a trivial fiber bundle, whose projection map coincides with F; (ii) F is a functor, which maps isomorphic complex tori to the stably isomorphic noncommutative tori. We shall refer to F as the *Teichmüller functor*; such a functor maps elliptic curves with complex multiplication to the noncommutative tori with real multiplication, *ibid*. The following lemma gives an explicit formula for F.

**Lemma 6** The functor F acts by the formula  $\mathcal{E}_{CM}^{(-D,f)} \mapsto \mathcal{A}_{RM}^{(D,f)}$ .

Proof. Let  $L_{CM}$  be a lattice with complex multiplication by an order  $\mathfrak{R} = \mathbb{Z} + (f\omega)\mathbb{Z}$  in the imaginary quadatic field  $\mathbb{Q}(\sqrt{-D})$ ; the multiplication by  $\alpha \in \mathfrak{R}$  generates an endomorphism  $(a, b, c, d) \in M_2(\mathbb{Z})$  of the lattice  $L_{CM}$ . We shall use an explicit formula for the Teichmüller functor F ([3], p.524):

$$F: \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in End (L_{CM}) \longmapsto \begin{pmatrix} a & b \\ -c & -d \end{pmatrix} \in End (\Lambda_{RM}), \qquad (11)$$

where  $\Lambda_{RM}$  is the pseudo-lattice with real multiplication corresponding to  $L_{CM}$ . Moreover, one can always assume d=0 in a proper basis of  $L_{CM}$ .

It is known, that  $\Lambda_{RM} \subseteq R$ , where  $R = \mathbb{Z} + (f\omega)\mathbb{Z}$  is an order in the real quadratic number field; here  $f \geq 1$  is the conductor of R and

$$\omega = \begin{cases} \frac{\sqrt{D}+1}{2} & \text{if } D \equiv 1 \bmod 4, \\ \sqrt{D} & \text{if } D \equiv 2, 3 \bmod 4. \end{cases}$$
 (12)

We have to consider the following two cases.

Case I. If  $D \equiv 1 \mod 4$  then  $\mathfrak{R} = \mathbb{Z} + (\frac{f + \sqrt{-f^2 D}}{2})\mathbb{Z}$ ; thus the multiplier  $\alpha = \frac{2m + fn}{2} + \sqrt{\frac{-f^2 Dn^2}{4}}$  for some  $m, n \in \mathbb{Z}$ . Therefore multiplication by  $\alpha$  corresponds to an endomorphism  $(a, b, c, 0) \in M_2(\mathbb{Z})$ , where

$$\begin{cases} a = Tr(\alpha) = \alpha + \bar{\alpha} = 2m + fn \\ b = -1 \\ c = N(\alpha) = \alpha \bar{\alpha} = \left(\frac{2m + fn}{2}\right)^2 + \frac{f^2 Dn^2}{4}. \end{cases}$$
 (13)

To calculate a primitive generator of endomorphisms of the lattice  $L_{CM}$  one should find a multiplier  $\alpha_0 \neq 0$  such that

$$|\alpha_0| = \min_{m.n \in \mathbb{Z}} |\alpha| = \min_{m.n \in \mathbb{Z}} \sqrt{N(\alpha)}.$$
 (14)

From the last equation of (13) the minimum is attained for  $m = -\frac{f}{2}$  and n = 1 if f is even or m = -f and n = 2 if f is odd. Thus

$$\alpha_0 = \begin{cases} \pm \frac{f}{2}\sqrt{-D}, & \text{if } f \text{ is even} \\ \pm f\sqrt{-D}, & \text{if } f \text{ is odd.} \end{cases}$$
 (15)

To find the matrix form of the endomorphism  $\alpha_0$ , we shall substitute in (11) a = d = 0, b = -1 and  $c = \frac{f^2D}{4}$  if f is even or  $c = f^2D$  if f is odd. Thus the Teichmüller functor maps the multiplier  $\alpha_0$  into

$$F(\alpha_0) = \begin{cases} \pm \frac{f}{2}\sqrt{D}, & \text{if } f \text{ is even} \\ \pm f\sqrt{D}, & \text{if } f \text{ is odd.} \end{cases}$$
 (16)

Comparing equations (15) and (16) one verifies that formula  $F(\mathcal{E}_{CM}^{(-D,f)}) = \mathcal{A}_{RM}^{(D,f)}$  is true in this case.

Case II. If  $D \equiv 2$  or  $3 \mod 4$  then  $\mathfrak{R} = \mathbb{Z} + (\sqrt{-f^2D}) \mathbb{Z}$ ; thus the multiplier  $\alpha = m + \sqrt{-f^2Dn^2}$  for some  $m, n \in \mathbb{Z}$ . A multiplication by  $\alpha$  corresponds to an endomorphism  $(a, b, c, 0) \in M_2(\mathbb{Z})$ , where

$$\begin{cases} a = Tr(\alpha) = \alpha + \bar{\alpha} = 2m \\ b = -1 \\ c = N(\alpha) = \alpha \bar{\alpha} = m^2 + f^2 Dn^2. \end{cases}$$
 (17)

We shall repeat the argument of Case I; then from the last equation of (17) the minimum of  $|\alpha|$  is attained for m=0 and  $n=\pm 1$ . Thus  $\alpha_0=\pm f\sqrt{-D}$ .

To find the matrix form of the endomorphism  $\alpha_0$  we substitute in (11) a = d = 0, b = -1 and  $c = f^2D$ . Thus the Teichmüller functor maps the multiplier  $\alpha_0 = \pm f\sqrt{-D}$  into  $F(\alpha_0) = \pm f\sqrt{D}$ . In other words, formula  $F(\mathcal{E}_{CM}^{(-D,f)}) = \mathcal{A}_{RM}^{(D,f)}$  is true in this case as well.

Since all possible cases are exhausted, lemma 6 is proved.  $\square$ 

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THE FIELDS INSTITUTE FOR MATHEMATICAL SCIENCES, TORONTO, ON, CANADA, E-MAIL: igor.v.nikolaev@gmail.com

Current address: 616-315 Holmwood Ave., Ottawa, ON, Canada, K1S 2R2